


RETURN RECEIPT CARD
(MPEP § 503)

OIPE:	Please Date Stamp In The Box Below And Return To Addressee
	

Application of:
Dixit et al.

Docket No.: PF335D2

Application Serial No.: 09/961,201

Art Unit: 1644

Filed: September 24, 2001

Examiner: P. N. Huynh

Title: Interleukin-1 Beta Converting Enzyme like
Apoptotic Protease-6

The following documents were filed by Human Genome Sciences, Inc.
via hand delivery on October 5, 2004:

1. Return Receipt Postcard;
2. PTO Form PTOL-85, "Part B - Fee(s) Transmittal";
3. Statement Under 37 C.F.R. 3.73, Revocation of Prior Powers of Attorney or Authorizations of Agent; and Power of Attorney or Authorization of Agent for SmithKline Beecham Corporation (2 pages);
4. Statement Under 37 C.F.R. 3.73, Revocation of Prior Powers of Attorney or Authorizations of Agent; and Power of Attorney or Authorization of Agent for Human Genome Sciences, Inc. (2 pages);
5. Statement Under 37 C.F.R. 3.73, Revocation of Prior Powers of Attorney or Authorizations of Agent; and Power of Attorney or Authorization of Agent for The Regents of the University of Michigan (2 pages);
6. Fee Transmittal with appropriate fee: 1 page, in duplicate); and
7. Charge \$1,382.00 to deposit account 3-3425.

Sender's Initials: MSP/ZS/mr

Exhibit B

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PART B - FEE(S) TRANSMITTAL

complete and send this form, together with applicable fee(s) to: **Mail**

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS. This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders, notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

22195 7590 03/11/2004

HUMAN GENOME SCIENCES INC
INTELLECTUAL PROPERTY DEPT
14200 SHADY GROVE ROAD
ROCKVILLE, MD 20850

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

Certificate of Mailing or Transmittal

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below

(Depositor's name)
(Signature)
(Date)

APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	CONFIRMATION NO.
09/961,201	09/24/2001	Shiva M. Dhar	PF335D2	6537

TITLE OF INVENTION: INTERLEUKIN-1 BETA CONVERTING ENZYME LIKE APOPTOTIC PROTEASE-6

APPLN TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	11/12/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
HUYNH, PHUONG N	1644	435-007100			

Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

- ☐ or printing on the patent front page, list the names of up to 3 registered patent attorneys or agents OR, alternatively,
- ☐ the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents (if no name is listed, no name will be printed).

Human Genome Sciences, Inc.
 2
 3

ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE
 Human Genome Sciences, Inc.
 SmithKline Beecham Corporation
 The Regents of the University of Michigan
 (Please check the appropriate assignee category or categories (will not be printed on the patent). ☐ individual ☒ corporation or other private group entity ☐ government

(B) RESIDENCE (CITY AND STATE OR COUNTRY)
 Rockville, MD
 Philadelphia, PA
 Ann Arbor, MI

1. The following fee(s) are enclosed

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 4

4b. Payment of Fee(s):

- ☐ check in the amount of the fee(s) is enclosed
☐ payment by credit card. Form PTO-2038 is attached.
☒ I, the Director, is hereby authorized by charge the required fee(s), or credit any overpayment, to deposit Account Number 08-3425 (enclose an extra copy of this form)

5. Change in Entry Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27 ☐ Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if required) will not be accepted for interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

Melissa J. Pyrel, #41,512

This collection of information is required by 37 CFR 1.311. The information, an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.1. Submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Office of Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COPIES TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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required to obtain or create benefit by the public which is to file (and by the USPTO to process) This collection is estimated to take 12 minutes to complete, including gathering, preparing, and filing upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Office of Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COPIES TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

TRANSMIT THIS FORM WITH FEE(S)

PTOL-85 (Rev. 07/04) Approved for use through 04/30/2007

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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*** Please note request to charge additional fees during the pendency of the application.

IN LIEU OF PTO/5817 (10-03)

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003 Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,382.00

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ Note

☒ Deposit Account

Deposit
Account
Number

08-3425

Deposit
Account
Name

Human Genome Sciences, Inc.

The Director is authorized to (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

☒ Charge any additional fee(s) during the pendency of the application

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims			0.00
Multiple Dependent			0.00

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	Reissue independent claims over original patent	
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater. For Reissues, see above

SUBMITTED BY

Name (Print/Type) Melissa J. Pytel

Signature

Complete if Known

Application Number	09/981,201
Filing Date	September 24, 2001
First Named Inventor	Vishva M. Dixit
Examiner Name	P. N. Huynh
Art Unit	1644
Attorney Docket No.	PF335D2

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	960	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	250	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	1,370.00
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(d)	
1808	180	1808	180	Submission of Information Disclosure Sheet	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify):				4 advance copies @ \$3.00 ea	12.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1,382.00

(Complete if applicable)

Registration No. (Attorney/Agent)	41,512	Telephone	(301) 610-5764
Signature		Date	October 5, 2004

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